

**Disclosure of Information for Professional Service Provider to Westmont Borough's
Municipal Pension System**
Professional Service Provider: Mockenhaupt Benefits Group

Act 44 of 2009 requires annual disclosure of certain information by individuals or entities that hold contracts with and provide professional services to a municipal pension system. Please complete and return this disclosure to the department as soon as possible. If you feel you are not obligated to complete the disclosure, please provide written explanation. Responses should be directed to:

Ms. Gail Heeter
Borough Secretary
Westmont Borough
1000 Luzerne Street
Johnstown, PA 15905

Section 1 - Definitions:

The following terms are defined within Section 701-A of Act 44 of 2009.

Affiliated Entity: Any of the following:

1. A subsidiary or holding company of a lobbying firm or other business entity owned in whole or in part by a lobbying firm;
2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c) of the Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. § 501(c)) established by a lobbyist or lobbying firm or an affiliated entity.

Contractor: A person or an affiliated entity that has a professional services contract with a municipal pension system

Contributions: As defined in section 1621 of the act of June 3, 1927 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

Executive Level

Employee: An employee of a person or the person's affiliated entity who:

1. Can affect or influence the outcome of the person's or affiliated entity's actions, policies or decisions relating to pensions and the conduct of business with a municipality or a municipal pension system; or
2. Is directly involved in the implementation or development of policies relating to pensions, investments, contracts or procurement or to the conduct of business with a municipality or a municipal pension system.

Political

Committee: As defined in section 1621 of the act of June 3, 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

Professional

Services Contract: A contract to which the municipal pension system is a party that is:

1. For the purchase or provision of professional services, including investment services, legal services, real estate services and other consulting services; and
2. not subject to a requirement that the lowest bid is accepted.

